

#9

1/29

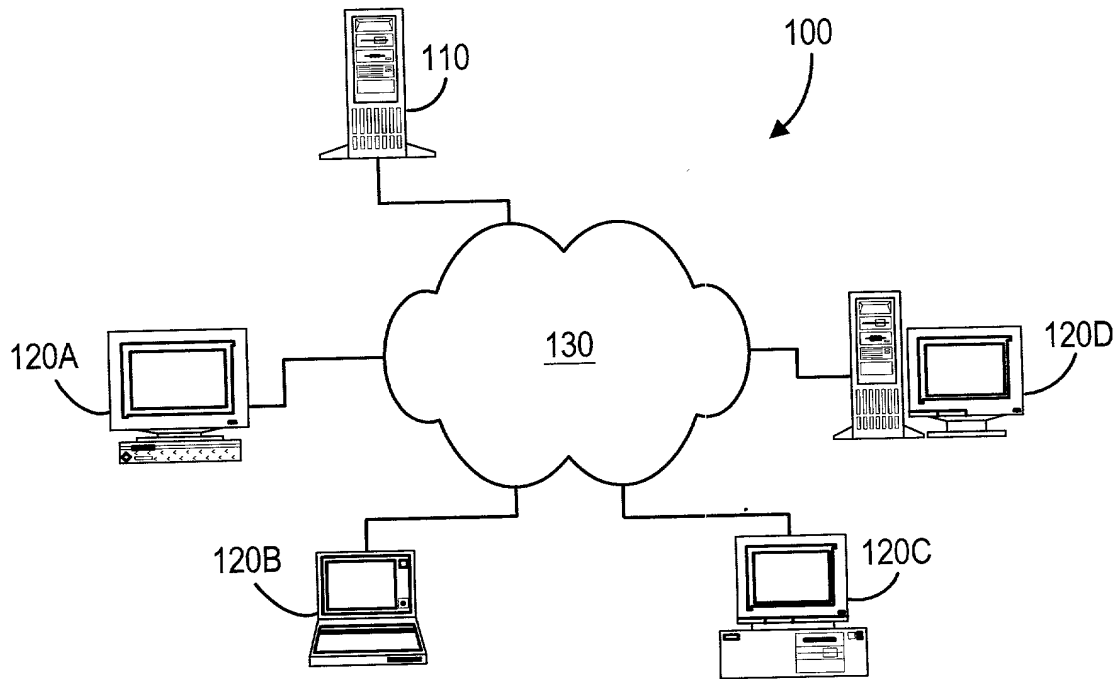


Fig. 1

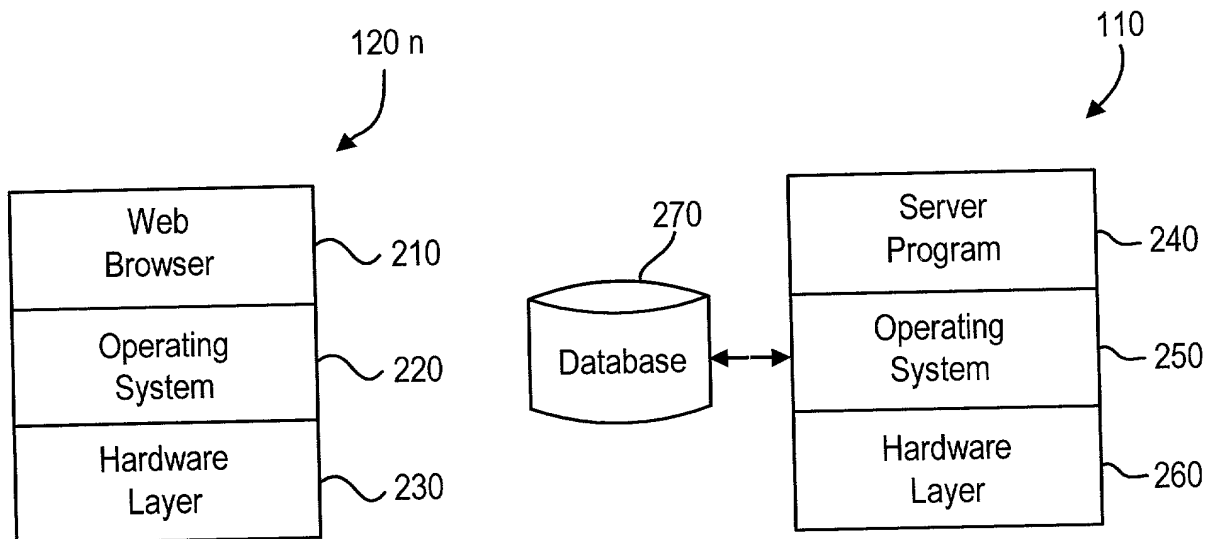


Fig. 2A

Fig. 2B

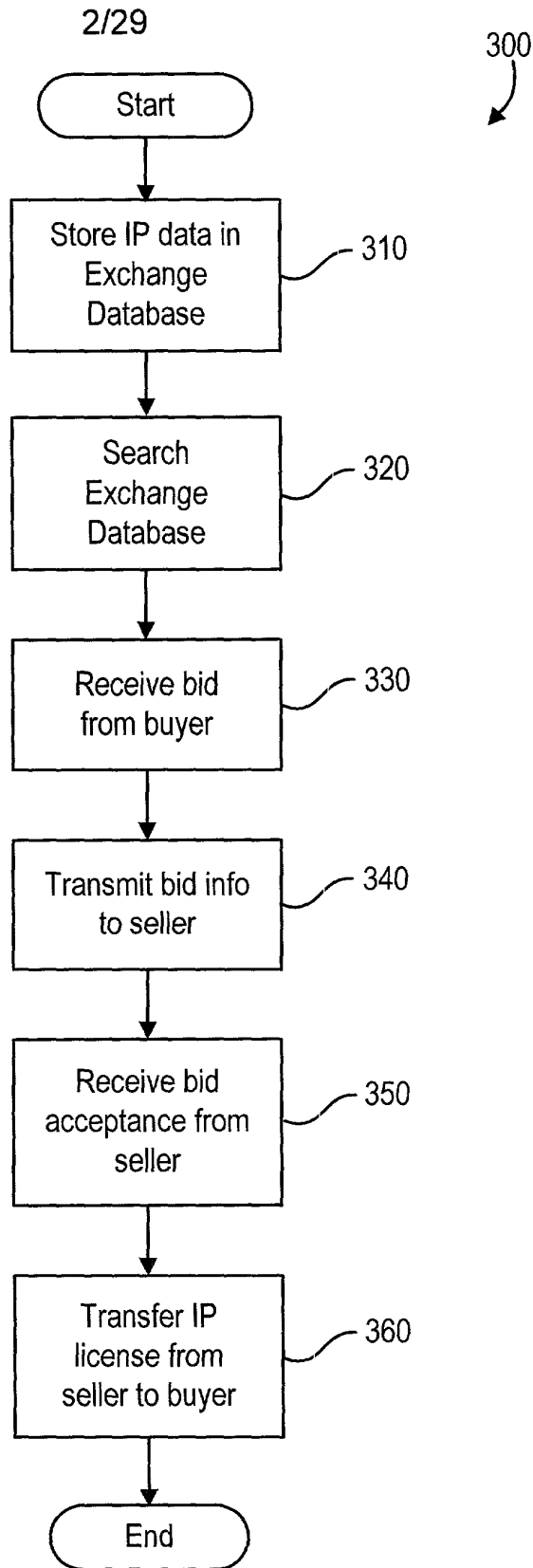


Fig. 3

3/29

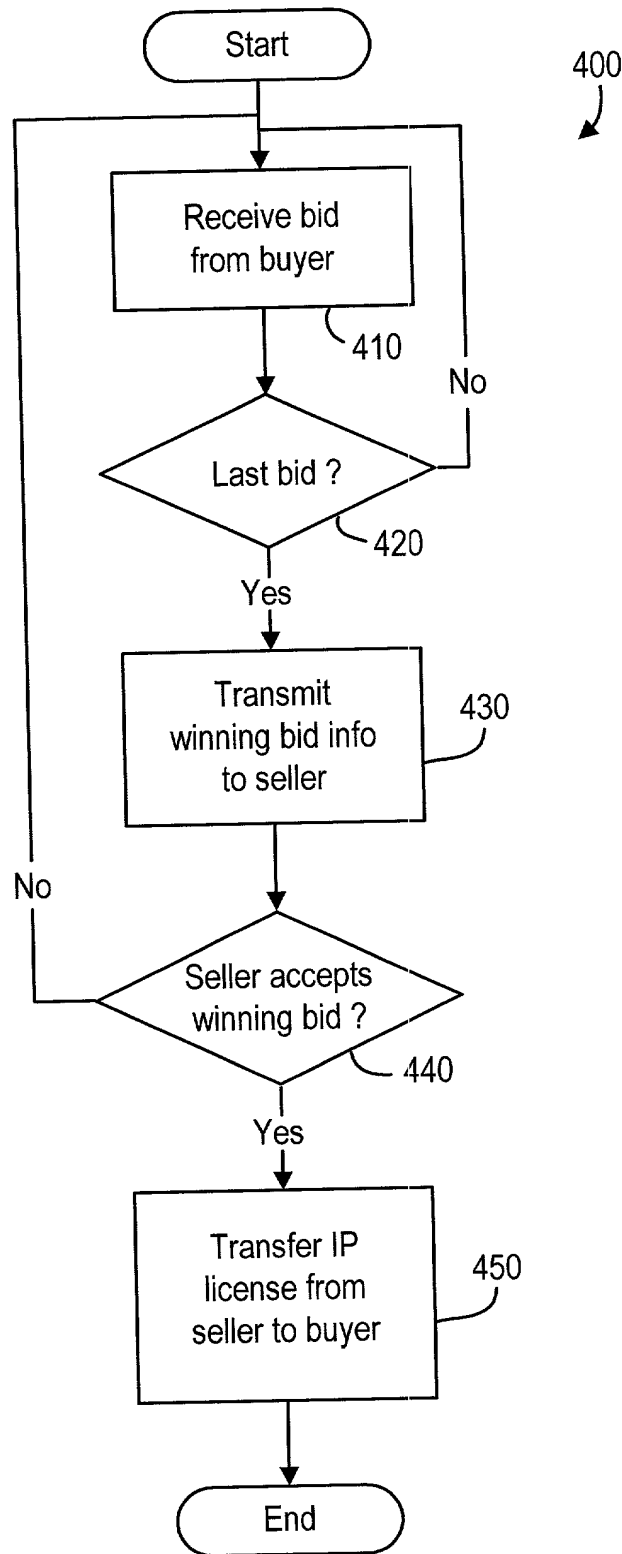


Fig. 4



Fig. 5A







Fig. 6

Fig. 7A

Fig. 7B

Fig. 7B

10/29

The Patent & License Exchange Demonstration - "Bidding for the Future" - Microsoft Internet Explorer

The pIX advanced search tool is a powerful resource for technology transfer professionals.

pIX

22 mos

6mos

Typical Transaction

pIX Transaction

Message Board

Patent Inventory

Search

Auction Activity

Escrow Activity

After Market License

Market Admin

Electronic Library

pIX Information Survey

pIX

19:28:43 GMT

Search Results

Issued	Title	Better	Faster	Cheaper	Patent No.
1995	Biologically active composition having a nanocrystalline core	Longer lasting stable biomolecule.	Reacts in an overnight production of 50L, or less, unsupervised	The raw ingredients used to produce nano-particles are simple and inexpensive salts	US 5462750
1995	Biochemically active agents for chemical catalysis and cell receptor activation	Produces more stable biomolecules	Can be produced overnight	This technology is based on commonly available raw ingredients	US 5460830
1995	Targeted transfection nanoparticles	Longer lasting biomolecule to improve therapy	Left to react overnight with production of the raw ingredients will balance the next day	It is unlikely that become scarce	US 5460831
1997	Reduced and controlled surface binding of biologically active molecules	Lasts longer with more stable biomolecule films	Overnight self assembling chemistry	Simple raw chemicals and ingredients are the key competitive advantage	US 5639505

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The Patent & License Exchange is the first business to business global internet-based auction facility for the safe and efficient transfer of quality patents and licenses

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Exit

Fig.8

Fig. 9

Fig. 10

13/29

The Patent & License Exchange Demonstration - "Bidding for the Future" - Microsoft Internet Explorer

From one convenient screen, you can track the auction activity for your entire portfolio.

26 mos

7.5mos

Typical Transaction

pIX Transaction

Message Board

Patent Inventory

Search

Auction Activity

Escrow Activity

After Market License

Electronic Admin

pIX Library

pIX Information

pIX Survey

Currency:

Portfolio:

Sell Side

Patent No.	Patent Title	Portfolio	Ask Price	High Bid	Portfolio Manager	Auction Close
US 5462750	Biologically active composition having a nanocrystalline core	Man's Best University	175,000	150,000	Mr. Fabio Marino	1 Dec 99

Buy Side

Patent No.	Patent Title	Portfolio	Ask Price	High Bid	Your Bid	Close
JP 76854678	A method for drawing fiberoptic cables with improved yield	Man's Best University	125,000	110,000	105,000	1 Dec 99
DE 3456572	Method for splicing reverse transcriptase into gene therapy vectors	Man's Best University	76,000			Sale Pending

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Fig. 11

FIG. 12

14/29

The Patent & License Exchange Demonstration - "Bidding for the Future" - Microsoft Internet Explorer

The pIX auction market assures patents are transferred at market rates.

Message Patent Search Board Inventory Auction Activity Escrow After Market Electronic pIX pIX
Activity License Admin Library Information Survey

Currency: Dollar Portfolio: Man's Best University

Patent No.	Patent Title	Ask Price	Ask Royalty	Currency	Portfolio Manager
US 5462750	Biologically active composition having a nanocrystalline core	150,000	5%	Dollar	
	Highest Bid	190,000	6%	Dollar	Mr. Fabio Marino
	Accept Bid				
		175,000	5%	Dollar	Galactic Food and Flavor, Inc.
		95,000	5%	Yen	Shokudo Ltd.
		95,000	3%	Dollar	Galactic Food and Flavor, Inc.
		70,000	5%	Dollar	Flavorschaft, GmbH
		70,000	3%	Euro	Galactic Food and Flavor, Inc.

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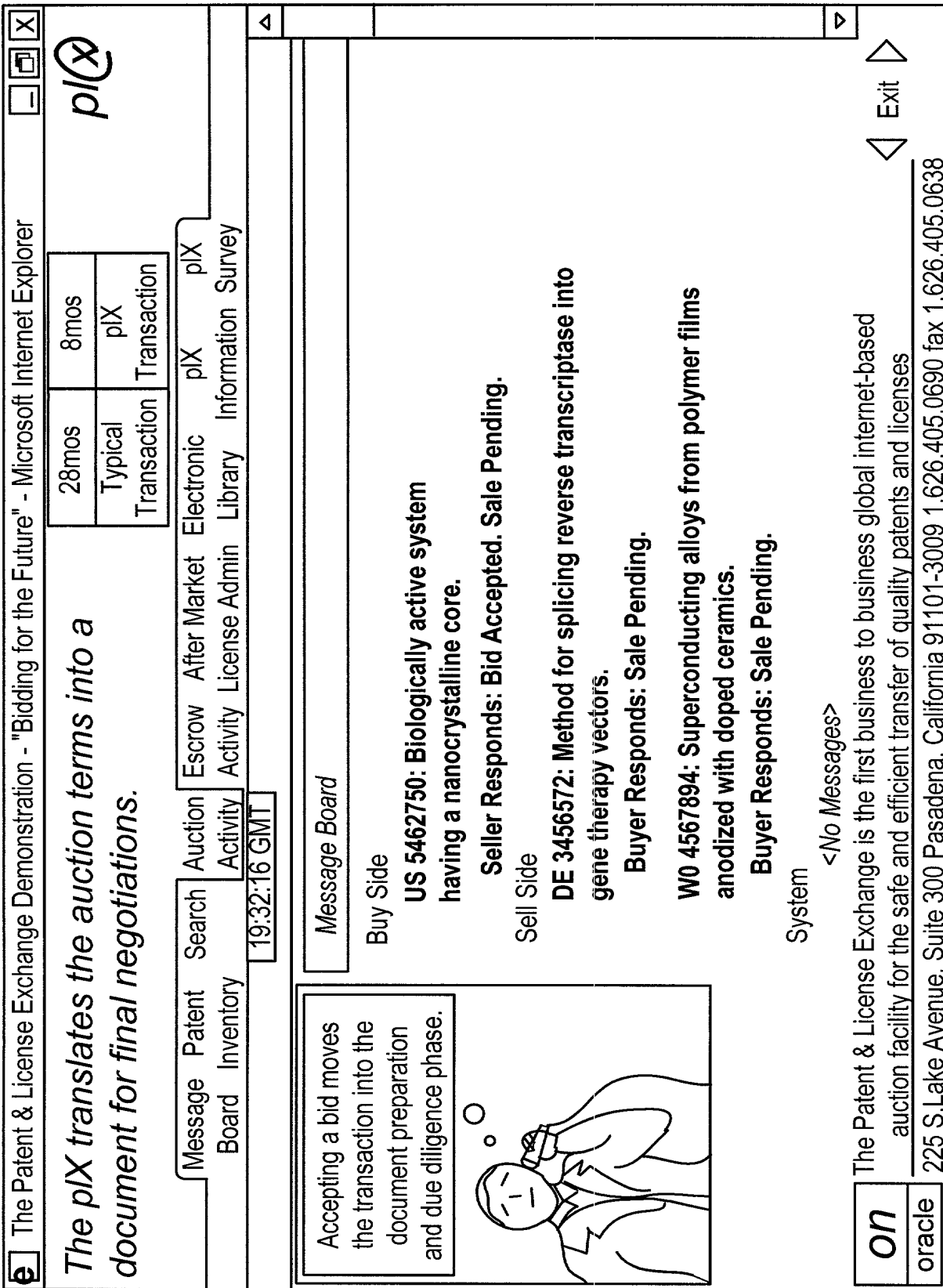
The Patent & License Exchange is the first business to business global internet-based auction facility for the safe and efficient transfer of quality patents and licenses
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Exit

1200

Fig. 12

15/29



1300

Fig. 13

16/29

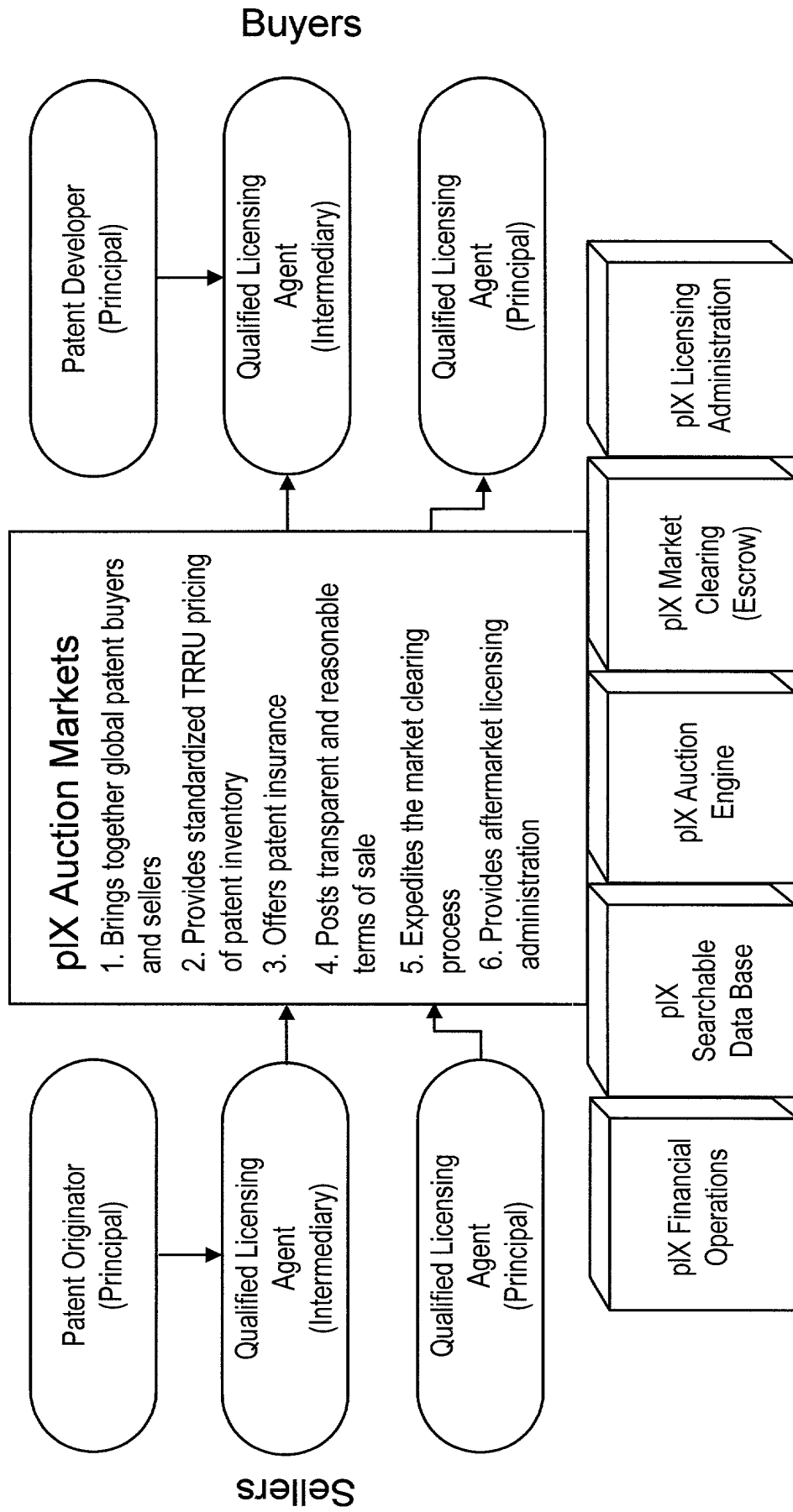


Fig. 14

17/29

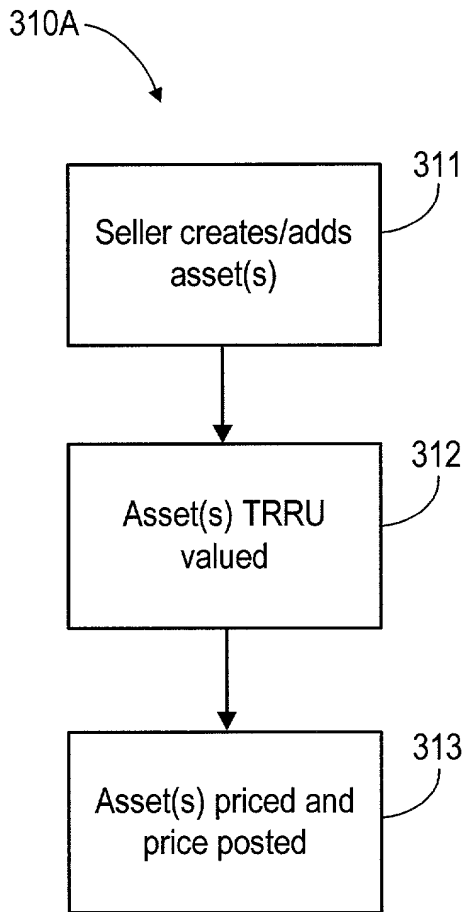


Fig. 15

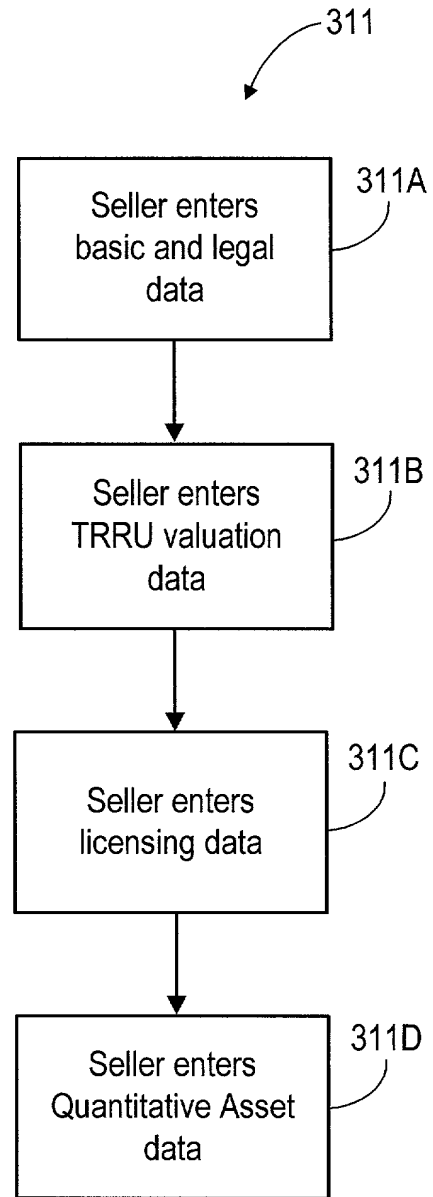


Fig. 17A

FIG. 15

Trademark Info	
Owner / Applicant Name:	<input type="text"/>
Product Name:	<input type="text"/>
Mark Description:	<input type="text"/>
Copyright Image:	<input type="text"/> Browse...
Serial Number:	<input type="text"/>
Registration Number:	<input type="text"/>
Registered Goods:	<input type="text"/>
Mark in Use?:	<input type="checkbox"/> Describe: <input type="text"/>
Any prior granted license or assignments:	<input type="text"/>
Registration:	<input type="text"/>
Date of Filing & Registration:	<input type="text"/>
Countries where registration is filed and allowed:	<input type="text"/>
Date of Filing & Registration:	<input type="text"/>
Country/(s) where registration is filed and allowed:	<input type="text"/>
Additional countries where mark is used:	<input type="text"/>
Basis for filing:	<input type="text"/>
Amendment to allege use filing?:	<input type="checkbox"/> Describe: <input type="text"/>
Other goods used but not registered:	<input type="text"/>
Has mark been published?	<input type="checkbox"/> Describe: <input type="text"/>
Was mark allowed?	<input type="checkbox"/> Describe: <input type="text"/>
Is there a notice of allowance?:	<input type="checkbox"/> Describe: <input type="text"/>
Supplement register?:	<input type="checkbox"/> Describe: <input type="text"/>
What is proof of continuing use?:	<input type="text"/>
Any known conflicts or potential conflicts with other marks?:	<input type="checkbox"/> Describe: <input type="text"/>
Allegations of infringement or conflicts of ownership?:	<input type="text"/>
Any joint or concurrent use?:	<input type="checkbox"/> Describe: <input type="text"/>
Use in business name?:	<input type="checkbox"/> Describe: <input type="text"/>
Use in domain name?:	<input type="checkbox"/> Describe: <input type="text"/>
Restrictions on right to use?:	<input type="checkbox"/> Describe: <input type="text"/>
First date of use in Internet commerce?:	<input type="text"/>
Has trademark achieved "incontestable" status?:	<input type="checkbox"/> Describe: <input type="text"/>
On what date(s) must trademark be renewed to remain in force?:	<input type="text"/>

Fig. 16A

Fig. 16B

20/29

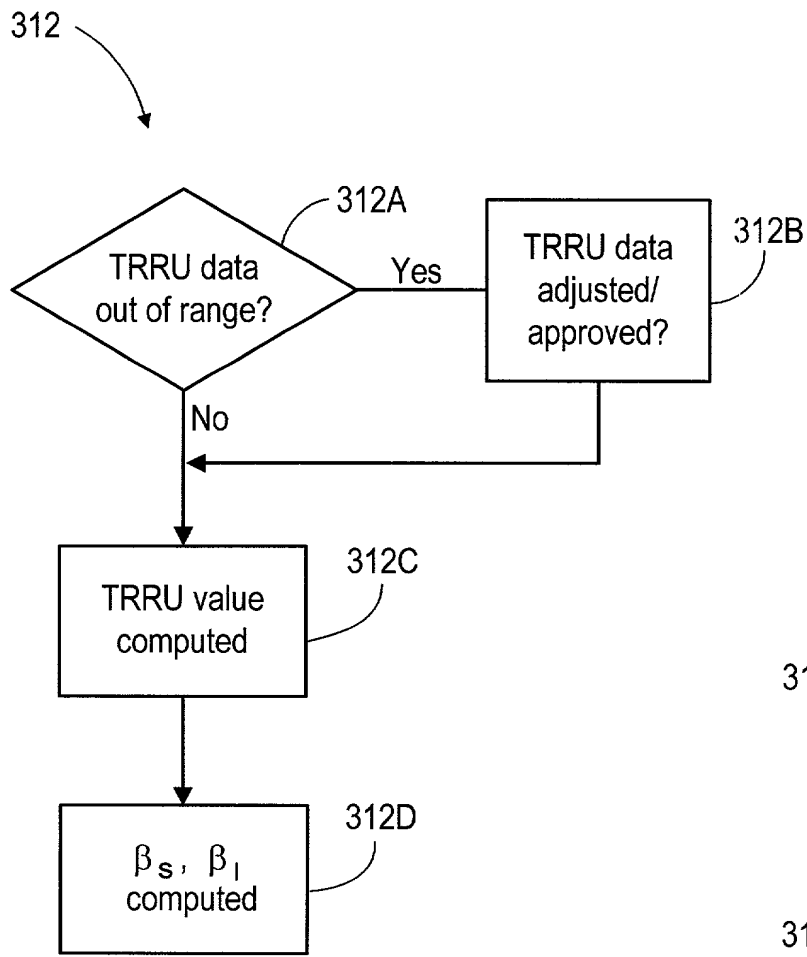


Fig. 17B

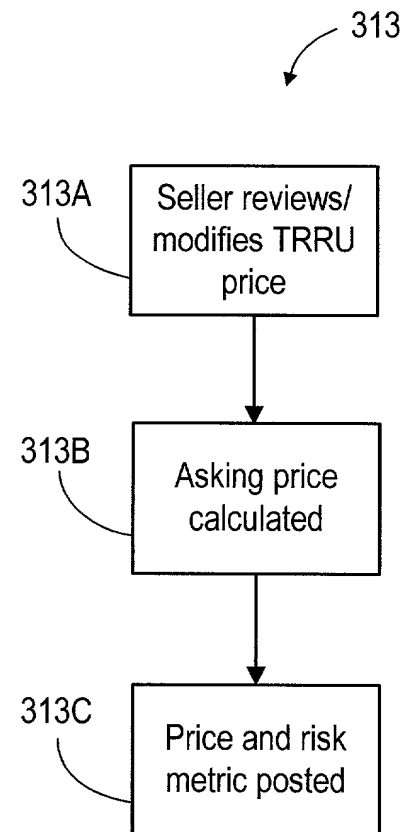


Fig. 17C

Basic Info	Legal	Conditions	Financial	Attributes
Add to portfolio:		Portfolio #1		
Patent Name:				
Patent Number:				
Country Issued:		Japan		
If application is pending, what is the status?		Pre-filing		
Has it been published?		<input type="radio"/> Yes <input type="radio"/> No		
Published Description:				
Short Descriptive Title:				
Internal Reference Number:				
pl-x.com Sector:				
pl-x.com Pure-Play Category:				
Razor/Razor Blade:				
Proven Applications:				
Potential Applications:				
Competitive Advantage:				

Fig. 18A

Basic Info	Legal	Conditions	Financial	Attributes
Relevant Regulatory Authority: <div>Relevant regulatory approvals applied for and approved in part or pending ▾</div>				
Litigation History? <div><input type="radio"/> Yes <input checked="" type="radio"/> No</div>				
If yes, then describe: <div><div>▴</div><div></div><div>▾</div></div>				
Litigation Pending Action? <div><input type="radio"/> Yes <input checked="" type="radio"/> No</div>				
If yes, then describe: <div><div>▴</div><div></div><div>▾</div></div>				
Legal Joint or Concurrent Users: <div><div>▴</div><div></div><div>▾</div></div>				

Fig. 18B

FIG. 18C

Basic Info	Legal	Conditions	TRRU	Pricing	Attributes	Inventory
1810 Estimated Time Remaining to Market:		24 x Months				
1820 Estimated Cost Remaining for Development:		3 x \$ 1,000 = \$ 3,000,000				
1830 Central Patent Expiration Date:		11 04 2029				
1840 (pl-x.com) Sector:		Advanced Materials				
1850 (pl-x.com) Pure-Play Category						
1860 Approximate Capital Invested to Date:(optional)		8 x \$ 1,000,000 = \$ 8,000,000				
				Calculate	Skip	

Fig. 18C

Basic Info	Legal	Conditions	Financial	Attributes
Type of License:		Exclusive		
Know-How Transfer:		Included in offer (details to be specified)		
Assignability:		Assignable without consent		
Sub Licensing:		Licensee may grant sublicenses (detail to be specified)		

Fig. 18D

Basic Info	Legal	Conditions	Financial	Attributes
1. Category: <div>Chemistry</div>				
Measurement & Unit: <div>molar entropy, molar heat capacity - J/(mol*K)</div>				
Min: <div>10</div>				
Max: <div>1000</div>				
Comments: <div></div>				
2. Category: <div>Chemistry</div>				
Measurement & Unit: <div>surface tension -N/m</div>				
Min: <div>12</div>				
Max: <div>25</div>				
Comments: <div></div>				
3. Category: <div>Dynamics</div>				
Measurement & Unit: <div>viscosity (dynamic) - Pa*s</div>				
Min: <div>65</div>				
Max: <div>650</div>				
Comments: <div></div>				
4. Category: <div>Chemistry</div>				
Measurement & Unit: <div>thermal conductivity - W/(m*K)</div>				
Min: <div>100</div>				
Max: <div>150</div>				
Comments: <div></div>				
5. Category: <div>General</div>				
Measurement & Unit: <div>percentage - %</div>				
Min: <div>5</div>				
Max: <div>8</div>				
Comments: <div></div>				

Fig. 18E

26/29

A pl-x.com asking price consists of up to four components:

1. Upfront fee.
2. Royalty rate.
3. Guaranteed yearly minimum payments.
4. Milestone payments.

pl-x.com Suggested Asking Price Present Value: \$US 18 million

Enter Upfront Fee amount or Percentage of Suggested Asking Price Present Value:

Upfront Fee Amount: \$US x \$1,000 = (\$AMOUNT)

Percent of Suggested Asking Price: % x \$US 18 million = (\$AMOUNT)

I would accept as payment: ☐ Cash ☐ Stock ☐ Both ☐ Either

Royalty Rate required (optional): % x \$US 18 million = (\$AMOUNT)

Guaranteed minimum yearly payments:

Yr1 \$US <input type="text"/> \$1,000 <input type="text"/>	Yr2 \$US <input type="text"/> \$1,000 <input type="text"/>	Yr3 \$US <input type="text"/> \$1,000 <input type="text"/>
Yr4 \$US <input type="text"/> \$1,000 <input type="text"/>	Yr5 \$US <input type="text"/> \$1,000 <input type="text"/>	Yr6 \$US <input type="text"/> \$1,000 <input type="text"/>
Yr7 \$US <input type="text"/> \$1,000 <input type="text"/>	Yr8 \$US <input type="text"/> \$1,000 <input type="text"/>	Yr9 \$US <input type="text"/> \$1,000 <input type="text"/>
Yr10 \$US <input type="text"/> \$1,000 <input type="text"/>	Yr11 \$US <input type="text"/> \$1,000 <input type="text"/>	Yr12 \$US <input type="text"/> \$1,000 <input type="text"/>
Yr13 \$US <input type="text"/> \$1,000 <input type="text"/>	Yr14 \$US <input type="text"/> \$1,000 <input type="text"/>	Yr15 \$US <input type="text"/> \$1,000 <input type="text"/>
Yr16 \$US <input type="text"/> \$1,000 <input type="text"/>	Yr17 \$US <input type="text"/> \$1,000 <input type="text"/>	

The "out clause" is an event, which, if it occurs, will release a buyer from making the specified minimum yearly payments.

Is there an "out clause?" ☒ Yes ☐ No

if Yes, specify:

Milestone Payments (Optional):

- a. The buyer or licensee pays \$US \$1,000 if occurs.
Estimated number of months until milestone event (a) could occur months.
- b. The buyer or licensee pays \$US \$1,000 if occurs.
Estimated number of months until milestone event (b) could occur months.
- c. The buyer or licensee pays \$US \$1,000 if occurs.
Estimated number of months until milestone event (c) could occur months.
- d. The buyer or licensee pays \$US \$1,000 if occurs.
Estimated number of months until milestone event (d) could occur months.

Present Value of your Asset Asking Price: \$US 18 million

When you are satisfied with your present value asking price for this asset, click the "Next" button.

Calculate

Next

Fig. 19

27/29

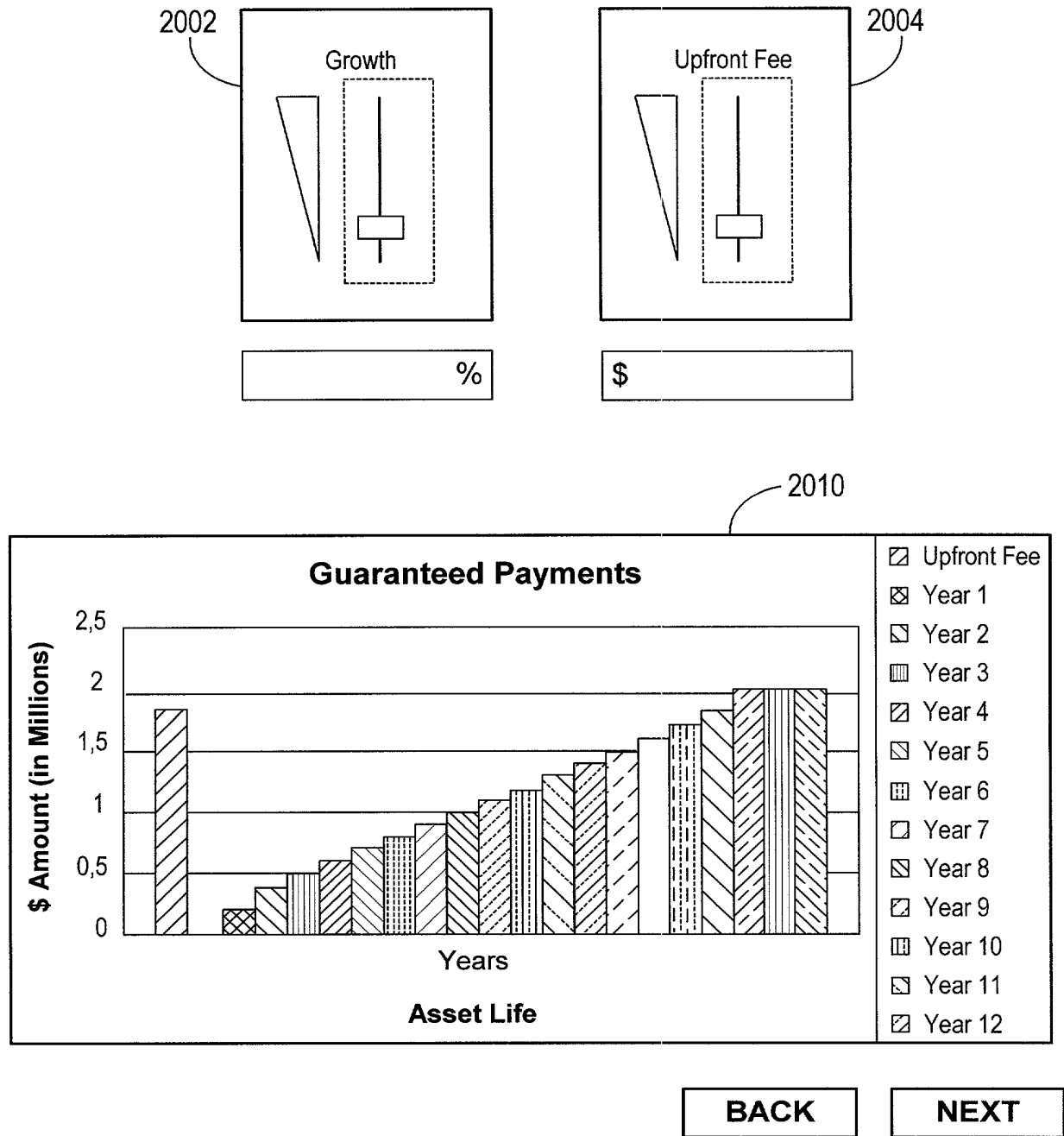


Fig. 20

28/29

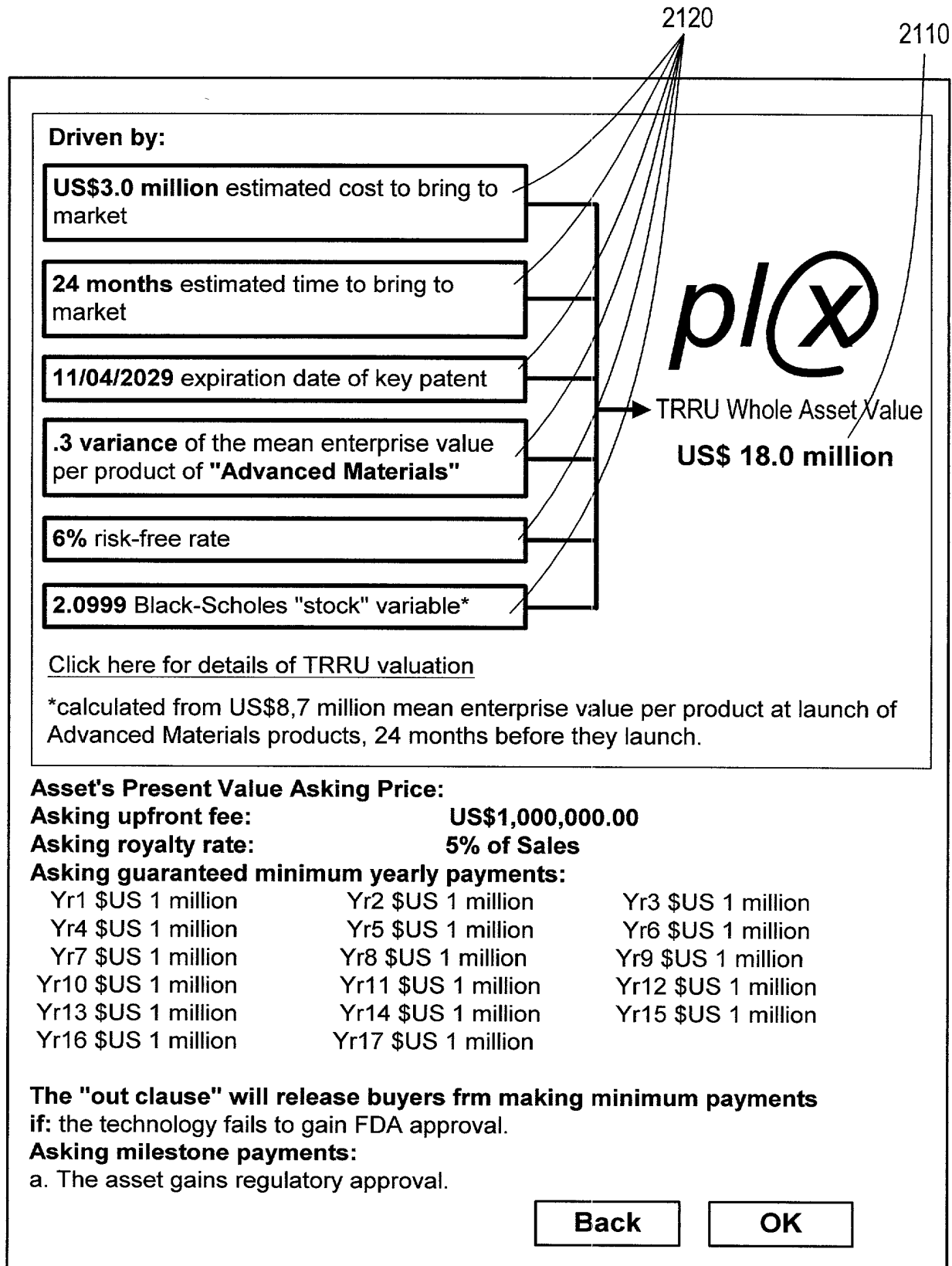


Fig. 21



29/29

Basic Info	Legal	Conditions	TRRU	Pricing	Attributes	Inventory
<p>TRRU Whole Asset Value: \$US 18 million</p> <p>Step 1: The responses to the question below will be used to help calculate the suggested asking price present value for the license(s) you are offering on this asset. The value will either, equal or represent a portion of the TRRU Whole Asset Value based on the sale or license of this asset:</p> <p>Do you intend to offer this technology for all fields of use or for a specific limited subset of use?</p> <p>All <input checked="" type="checkbox"/> Subset <input type="checkbox"/></p> <p>Approximately, what fraction of the total potential market for this device does this subset represent? <input type="text"/> %</p> <p>Approximately, what # of licenses, of this type, do you intend to offer? <input type="text"/></p> <p>Are you willing to put a "cap " on this number so buyers or licensees will have a clear understanding of how many licenses will be made available?</p> <p><input type="radio"/> Yes <input type="radio"/> No</p> <p>The pl-x.com suggested asking price present value for this license(s) you are offering on this asset is \$US 18 million which represents 100% of the TRRU Whole Asset Value.</p> <p>Step 2: Please choose one of the following three choices to set the asking price present value for the license(s) you are offering on this asset:</p> <p><input checked="" type="checkbox"/> Use the suggested asking price present value.</p> <p><input type="checkbox"/> Use a % of suggested asking price present value. Enter percentage <input type="text"/> %</p> <p><input type="checkbox"/> Do not use suggested asking price present value.</p> <p style="text-align: right;"><input type="button" value="Calculate"/> <input type="button" value="Next"/></p>						

Fig. 22